ATTORNEY DOCKET NO. 064731.0251

PATENT Serial No. 10/028,658



1.

06-17-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Choudhary et al.

Serial No.:

10/028,658

MAY 1 3 2003

RECEIVED

Filing Date:

December 21, 2001

Technology Center 2600

Group Art Unit:

2633

Title:

OPTICAL PHASE MODULATION

Honorable Commissioner

for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail No. EV 323510915 US

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material patentability of the present application.

Enclosed is a copy of a PCT International Search Report identifying the references contained in this Information Disclosure Statement. The PCT International Search Report has 2

a mailing date of April 25, 2003. Therefore, I hereby certify that the references contained in this Information Disclosure Statement were cited for the first time in a communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this Information Disclosure Statement. Furthermore, in the event a patent term adjustment is requested, pursuant to the requirements of 37 C.F.R. § 1.704, Applicants submit that the references contained in this Information Disclosure Statement were received from a foreign patent office in a related foreign application not more than thirty (30) days prior to the filing of this Information Disclosure Statement.

The Japanese Patent No. 10-332939 reference cited in the attached PTO-1449 to this Information Disclosure Statement is not in the English language but was discovered in the PCT International Search Report identified above. Thus, pursuant to M.P.E.P. § 609(A)(3), an English language version of the PCT International Search Report indicating the degree of relevance for this reference is attached to the PTO-1449 of this Information Disclosure Statement to satisfy the concise explanation of relevance requirement under 37 C.F.R. § 1.98(a)(3).

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Pursuant to 37 C.F.R. § 1.97(c), Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a final action or notice of allowance. Therefore, Applicants believe that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

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Page 1 of 1 Application No. Applicant(s)

Information Disclosure Citation In an Application

PTO-144

10/028,658 Choudhary et al. Docket Number Group Art Unit Filing Date 064731.0251 2633

Dec. 21, 2001

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	US 2001/0005271A1	06/28/2001	Leclerc et al.	359	140	
В			-			
C						
D						
Е			. •		RECEI MAY 1 3	VFD
F					MAY 1 3	2003
G				Te	chnology Co	ntor Coo-
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
 M	EP 0 792 069 A2	01/10/97	EPO	H04N 7/22		X	
N	EP 0 825 733 A2	02/25/98	EPO	H04B 10/155		X	
 O	JP 10-332939	12/18/98	Japan	G02B 6/60	G02B 6/28		X
P							
Q							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	PCT Search Report in International Application No. PCT/IB 02/05568, dated April 25, 2003, 7 pages.	04/25/2003
S		
T		

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE